

# 1 Dopamine pathway characterization during the reproductive mode switch in 2 the pea aphid

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## 8 9 **Abstract**

10 Aphids are major pests of most of the crops worldwide. Such a success is largely explained by the  
11 remarkable plasticity of their reproductive mode. They reproduce efficiently by viviparous  
12 parthenogenesis during spring and summer generating important damage on crops. At the end of the  
13 summer, viviparous parthenogenetic females perceive the photoperiod shortening and transduce this  
14 signal to their embryos that change their reproductive fate to produce sexual individuals: oviparous  
15 females and males. After mating, those females lay cold-resistant eggs. Earlier studies showed that some  
16 transcripts coding for key components of dopamine pathway were regulated between long days and  
17 short days conditions suggesting that dopamine might be involved in the transduction of seasonal cues  
18 prior to reproductive mode switch. In this study, we aimed at going deeper into the characterization of  
19 the expression dynamics of this pathway but also in the analysis of its functional role in this context in  
20 the pea aphid *Acyrtosiphon pisum*. We first analysed the level of expression of ten genes of this  
21 pathway in embryos and larval heads of aphids reared under long days (asexual producers) or short days  
22 (sexual producers) conditions. We then performed *in situ* hybridization experiments to localize in  
23 embryos the *ddc* and *pale* transcripts that are coding for two key enzymes in dopamine synthesis. Finally,  
24 Using CRISPR-Cas9 mutagenesis in eggs produced after the mating of sexual individuals, we targeted  
25 the *ddc* gene. We could observe strong melanization defaults in *ddc* mutated eggs, which confidently  
26 mimicked the *Drosophila ddc* phenotype. Nevertheless, such a lethal phenotype did not allow us to  
27 validate the involvement of dopamine as a signaling pathway necessary to trigger the reproductive mode  
28 switch in embryos.

29  
30 **Key-words :** *Acyrtosiphon pisum*, photoperiodic response, dopamine pathway, spatio-temporal  
31 expression, CRISPR-Cas9.

## 32 33 **Introduction**

34 Aphids are hemipteran insects that adapt their reproductive mode to seasonal variation. They are capable  
35 of alternating between asexual and sexual reproduction during their annual life cycle. In spring and  
36 summer, they reproduce by viviparous parthenogenesis: each asexual female can produce nearly a  
37 hundred of clonal progeny. When autumn starts, asexual females perceive the shortening of the  
38 photoperiod, which triggers a switch of the reproductive fate of their embryos ending up with the  
39 production of clonal oviparous sexual females and males (which lack one X chromosomes compared to  
40 females, thus not truly clonal) in their offspring. After mating with males, oviparous sexual females lay  
41 fertilized eggs that enter an obligate 3-month diapause over winter before hatching in early spring. The  
42 individuals hatching from those eggs are new genetic combinations with the viviparous parthenogenetic  
43 female phenotype. Thus, it is at least four different clonal morphs that are produced within the annual  
44 life cycle: males, oviparous sexual females, parthenogenetic viviparous females producing a progeny of

45 parthenogenetic viviparous females (under long days, dubbed “virginoparae”), and parthenogenetic  
46 viviparous females producing a progeny of males and oviparous sexual females (under short days,  
47 dubbed “sexuparae”). This peculiar adaptation to seasonality for poikilothermous animals involves  
48 several trans-generational complex physiological and molecular mechanisms: perception and integration  
49 of the photoperiod signal, its neuro-endocrine transduction to the ovaries and orientation of  
50 developmental programs for embryos (reviewed in Le Trionnaire *et al.*, 2013 and Ogawa and Miura,  
51 2014). Development of embryos in viviparous parthenogenetic aphid ovaries is continuous: each  
52 ovariole contains several developing embryos at different stages of development. Viviparity in aphids  
53 thus implies a telescoping of generations: grandmothers contain daughter embryos that already enclose  
54 (at least for the most developed) the germ cells of the granddaughters. Therefore, sensing and integration  
55 of the photoperiod by the adult females needs a continuous transduction of the signal towards the  
56 embryos, across three generations. Nevertheless, it has been strongly suggested that embryos can  
57 directly perceive the changes in photoperiod (Lees, 1964 and Le Trionnaire *et al.*, 2009), which reduces  
58 (at least under controlled conditions) to two generations the transduction effect, from the sexuparae  
59 mother (starting early at embryonic stages) to its offspring. For several years, our and other groups have  
60 been involved in deciphering these mechanisms (see Le Trionnaire *et al.*, 2013 for a review).  
61 Transcriptomic analyses allowed the identification of neuro-endocrine pathways that differ in expression  
62 between aphids reared under short or long photoperiod. Most of the analyses were performed on the pea  
63 aphid (*Acyrtosiphon pisum*) which was the first aphid species to present a large set of genomic  
64 resources (IAGC, 2010 and Legeai *et al.*, 2010). One of the unexpected observations derived from those  
65 transcriptomic analyses was a wide regulation of the expression of cuticular protein genes: several  
66 cuticular protein mRNAs were down regulated in the heads of pea aphid sexuparae females under short  
67 days (Le Trionnaire *et al.*, 2009 and Cortes *et al.*, 2008). Most of these cuticular proteins belong to the  
68 RR2 family, known to be involved (at least in *Tribolium castaneum* and other insects, Arakane *et al.*,  
69 2009) in the sclerotization of the cuticle, a process that contributes to cuticle structure stabilization  
70 (Andersen, 2010); they usually accumulate in the inner part of the cuticle. The cuticle of the pea aphid  
71 has been described as made of three layers: the outer epicuticle, the inner epicuticle and the procuticle  
72 (Brey *et al.*, 1985). Despite several trials, we did not succeed in localizing regulated-cuticular proteins  
73 in the cuticle and we did not notice any clear modification of the structure or width of head cuticles in  
74 sexuparae (unpublished observation). Sclerotization involves different molecules, including dopamine.  
75 We previously demonstrated that two genes involved in dopamine synthesis (*pale* and *ddc*) were also  
76 down regulated in heads of sexuparae under short days, as were the cuticular proteins (Gallot *et al.*,  
77 2010). At that stage, we made several hypotheses on the putative roles of dopamine in the regulation of  
78 different downstream pathways such as i) synaptic function, ii) sclerotization of the cuticle, and iii)  
79 melanization of the cuticle (Figure 2), involving for each pathway various proteins and genes.  
80 Dopamine is formed after two enzymatic reactions that first convert L-tyrosine into L-DOPA (by a  
81 tyrosine hydroxylase encoded by the *pale* gene in *Drosophila melanogaster*) and second, convert L-  
82 DOPA into dopamine (by a DOPA decarboxylase encoded by the *ddc* gene in *D. melanogaster*).  
83 Dopamine can be transported in synaptic vesicles by different proteins such as the vesicular monoamine  
84 transporters *Vmat*, the vesicle amine transporter *vat1* or the monoamine transmembrane transporter *prt*.  
85 For cuticle sclerotization, two acyldopamines (N- $\beta$ -alanine-dopamine (NBAD) and N-acetyldopamine  
86 (NADA)) are formed and incorporated into the cuticular matrix (Andersen, 2010). This involves several  
87 enzymes such as an arylalkylamine N-acetyltransferase (encoded by the *aaNAT* gene - also known as  
88 *Dat* in *D. melanogaster*) that converts dopamine into NADA, an aspartate 1-decarboxylase (the *black*  
89 gene in *D. melanogaster*) that converts aspartate into  $\beta$ -alanine and the *ebony* enzyme that finally links  
90  $\beta$ -alanine with dopamine to form NBAD. The consequence is the formation of a hard layer of cuticle

91 often at the outer part of the exoskeleton, covering internal softer cuticle layers. Dopamine can also be  
92 oxidized by laccases (multicopper oxidases) and/or phenoloxidases (PO) to form the dopamine melanin  
93 involved in cuticle pigmentation, in conjunction in some instances with structural proteins such as the  
94 Yellow protein (Arakane *et al.*, 2010).

95 In this paper, we aimed at pursuing our characterization of dopamine pathway in the context of  
96 reproductive mode switch in aphids. To achieve that, we first localized *pale* and *ddc* transcripts in  
97 embryos and then analyzed the expression of the key genes involved in the dopamine-derived pathways  
98 in embryos and heads of larvae under short and long days conditions. We finally targeted the *ddc* gene  
99 in fertilized eggs with CRISPR-Cas9 to generate mutant lineages and potentially analyze the precise  
100 role of dopamine in the transduction step of the photoperiodic response.

## 102 Materials and Methods

### 104 1. Aphid rearing and production of sexuparae and virginoparae embryos

105 Stocks of virginoparae individuals of *Acyrthosiphon pisum* strain LSR1 (IAGC, 2010) are maintained  
106 on broad bean (*Vicia fabae*) plants in growth chambers at 18°C under long days conditions (16h of light,  
107 8h of night), at low density (5 individuals per plant) to prevent the induction of winged morphs. In order  
108 to induce the production of sexual individuals, we used an already well-established protocol consisting  
109 in transferring individuals at precise developmental stages from long days to short days conditions (Le  
110 Trionnaire *et al.*, 2009). Briefly, virginoparae L3 individuals were collected from the initial stock to  
111 produce in two different batches future sexuparae (under short days) or virginoparae (under long days).  
112 Future sexuparae are produced on broad bean at 18°C when placed at 12h of light (12h of night) while  
113 virginoparae are produced when maintained at 18°C under 16h of light (8h of night). Those individuals  
114 - when they become adult - represent generation 0 (G0). The embryos contained in those G0 are either  
115 future virginoparae (under long days) or sexuparae (under short days) and after having been laid on the  
116 plant until they reach adulthood, they correspond to generation 1 (G1). G1 virginoparae lay down  
117 virginoparae females at generation 2 (G2), while G1 sexuparae lay down sexual individuals (oviparous  
118 sexual females first and then males) at G2. This experimental design, as well as the developmental stages  
119 where biological material was collected for subsequent molecular analyzes (qPCR, RNA-seq and *in situ*  
120 hybridization) is summarized in **Figure 1**.

### 122 2. Dopamine pathway gene annotation and PCR primers definition

123 In order to find pea aphid homologues for *Drosophila* genes functionally characterized for their  
124 involvement in dopamine pathway (as described in **Figure 2**), the amino acid sequences of *pale*, *ddc*,  
125 *vat1*, *pvt*, *vamt*, *aaNAT*, *black*, *ebony*, *laccase* and *yellow* genes were retrieved from Flybase. A Blastp  
126 analysis was then performed on AphidBase (<https://bipaa.genouest.org/is/aphidbase/>) on the NCBI v2.1  
127 annotation of *Acyrthosiphon pisum* genome in order to find the closest homologues for these genes.  
128 These genes were then manually annotated to discriminate exon and intron sequences. Coding sequences  
129 for these 10 genes are available in **Table S1**. Primers for quantitative RT-PCR were then searched on  
130 these sequences using the Primer 3 software (<http://primer3.ut.ee/>) with default parameters and a  
131 maximum size of 150 nt for amplicon length. Primers sequences are listed in **Table S2**.

### 133 3. Quantitative RT-PCR analyzes

134 For Quantitative RT-PCR analysis of dopamine pathway gene expression levels, both virginoparae and  
135 sexuparae embryos (see above) were dissected from 25 adult G0 aphids (**Figure 1**). The 6/7 most  
136 developed embryos were dissected on ice for each individual, then pooled into liquid nitrogen and finally

137 stored at -80°C before RNA extraction. Approximately 180 embryos were collected in both conditions  
138 (short and long days). Three biological replicates were performed. Total RNAs were extracted using the  
139 RNeasy Plant Mini kit (Qiagen) according to manufacturer's instructions. The optional DNase treatment  
140 was carried out with the RNase-Free DNase Set (Qiagen). RNA quality was checked and quantified by  
141 spectrophotometry (Nanodrop Technologies). Before reverse transcription, a second round of DNase  
142 digestion was added using the RQ1 RNase-free DNase (Promega), in order to remove any putative  
143 residual DNA. One microgram of total RNA was used for cDNA synthesis using the Superscript III  
144 Reverse Transcriptase (Invitrogen) and a poly-T oligonucleotide primer (Promega) following the  
145 manufacturer's instructions. The cDNAs were used for the quantitative PCR assay on a LightCycler 480  
146 Real-Time PCR System using the SYBR Green I Master mix (Roche) according to the manufacturer's  
147 instructions. A standard curve was performed for each gene (*pale*, *ddc*, *vat1*, *Vmat*, *pvt*, *laccase*, *yellow*,  
148 *black*, *ebony*, *aaNAT*, and *RpL7* used as a reference gene) using serial dilutions of cDNA products in  
149 order to assess PCR primers efficiency. A dissociation curve was produced at the end of each run in  
150 order to check for non-specific amplifications. Each Q-RT-PCR was performed on three technical  
151 replicates. Thus, for each condition, data were obtained from three biological replicates with three  
152 technical replicates for a total of nine measurements per condition and gene. Relative quantification was  
153 performed using the standard curve method with normalization to the *A. pisum* ribosomal protein L7  
154 transcript (*RpL7*, Nakabachi *et al.*, 2005). In our conditions, *RpL7* expression was stable across samples  
155 (less than 1 Ct of difference between samples), thus confirming its invariant status. Absolute measures  
156 for each of the ten target genes (averaged among three replicates) were divided by the absolute measure  
157 of *RpL7* transcript. For each of the ten genes involved in the dopamine pathway, the normalized (to  
158 *RpL7* invariant gene) expression values (the average of the three technical replicates) calculated for  
159 embryos dissected from virginoparae and sexuparae mothers (three biological replicates) were compared  
160 using a one-way ANOVA. A p-value  $\leq 0.05$  was applied to identify differentially expressed genes  
161 between the two conditions. All raw data are accessible here:  
162 <https://data.inrae.fr/privateurl.xhtml?token=d41cb434-97c3-400c-9d89-cb7c32299055>.

163

#### 164 4. RNA-seq data

165 In a previous study, we compared the transcriptomes of virginoparae (under long days or LD) and  
166 sexuparae (under short days or SD) head samples at two stages of larval development (L2-G1 and L4-  
167 G1, see **Figure 1**) using a custom-made cDNA microarray (Le Trionnaire *et al.*, 2009). We recently  
168 used the exact same RNA samples (two biological replicates per time point) to perform RNA-seq  
169 analyses, for eight datasets (two for L2-G1 LD, two for L2-G1 SD, two for L4-G1 LD and two for L4-  
170 G1 SD). Raw reads from RNA-seq data were mapped onto the NCBI v2.1 annotation of *Acrythosiphon*  
171 *pisum* using STAR with default parameters (Dobin *et al.*, 2015). Reads were then counted by genes  
172 using FeatureCounts (Liao *et al.*, 2014) with default parameters. Counts normalisation and differential  
173 expression analyses have been performed using the scripts described in Law *et al.* (2016), which is based  
174 on EdgeR (Robinson *et al.*, 2010). Genes with an adjusted p-value  $\leq 0.05$  were considered as  
175 differentially expressed between LD and SD conditions. From these data, the expression values and the  
176 p-value associated with the LD/SD comparisons were retrieved for the ten pea aphid homologues of  
177 *Drosophila* genes involved in the dopamine pathway (see above). Raw data are available on Sequence  
178 Read Archive (SRA) from NCBI under the SRP201439 accession number.

179

#### 180 5. mRNA *in situ* hybridization

181 **Riboprobe synthesis.** Templates for riboprobes synthesis were amplified by RT-PCR, cloned and then  
182 transcribed into RNA. For this, total RNAs were extracted from adult parthenogenetic females

183 (virginoparae) using the RNeasy Plant Mini kit (Qiagen). A DNase digestion step was carried out using  
184 RQ1 RNase-free DNase (Promega) in order to remove any residual DNA. One microgram of total RNA  
185 was reversed transcribed with AMV Reverse Transcriptase (Promega) and a poly-T oligonucleotide  
186 primer (Promega) following the manufacturer's instructions. The cDNA produced was used as a  
187 template for PCR amplification with specific primers for the two genes *pale* and *ddc*. Sequences of gene-  
188 specific primers and length of probes are given in **Table S3**. Amplified fragments were then cloned into  
189 StrataClone PCR Cloning Vector pSC-A-amp/kan (Stratagene) and sequenced in order to check for the  
190 identity and orientation of the inserted PCR fragment. Inserts containing the RNA polymerase promoters  
191 were obtained from the recombinant plasmids by PCR with universal primers. These PCR products (at  
192 least 500 ng per probe) were used as a template for synthesis of sense and antisense riboprobes using  
193 digoxigenin-labelled dNTPs (Dig RNA Labelling Mix (Roche)) and the appropriate RNA polymerase  
194 T7, T3 or SP6 (Roche). After synthesis, DNA was removed with RQ1 RNase-free DNase treatment  
195 (Promega) and labelled riboprobes were purified with the RNeasy MinElute Cleanup kit (Qiagen).  
196 Riboprobe quality and quantity was checked on an agarose gel containing SybrSafe (Invitrogen) and  
197 quantified with Nanodrop (ThermoFischerScientific).

198 **Whole-mount *in situ* hybridization on aphid ovaries.** We adapted the *in situ* hybridization protocol  
199 previously described in Gallot *et al.* (2012). Ovaries containing the ovarioles of developing embryos  
200 were dissected from virginoparae adult individuals, maintained under long photoperiod (see **Figure 1**).  
201 For this, caudas were removed with clamps and ovaries chains were slightly disrupted from conjunctive  
202 tissues under a glass microscope slide within fixation solution (4% paraformaldehyde in PBS buffer).  
203 Dissected ovarioles were incubated with the probes of interest: 630 ng/ml for *ddc* and 1000 ng/ml for  
204 *pale* in the same conditions as described in Gallot *et al.* (2012). Detection was performed with anti-DIG-  
205 alkaline phosphatase (AP) Fab fragments (Roche) diluted 1:2000 in blocking solution. Signal was  
206 revealed with 4  $\mu$ L of NitroBlue Tetrazolium/5-Bromo-4-Chloro-3-Indolyl Phosphate (NBT/BCIP)  
207 Stock Solution (Roche)/ml of AP reaction buffer. Ovarioles were then observed under a microscope  
208 Nikon 90i connected to a Nikon type DS-Ri1 camera to allow images capture. Controls were performed  
209 using the corresponding sense probes.

210

## 211 **6. CRISPR-Cas9 editing of *ddc* gene**

212 In order to analyze the function of *ddc* gene in the context of aphid reproductive mode switch, we aimed  
213 at generating stable mutant lineages for this gene. The step-by-step protocol for CRISPR-Cas9  
214 mutagenesis in the pea aphid is detailed in Le Trionnaire *et al.* (2019). Briefly, we designed two single  
215 guide RNAs (sg1 and sg2) predicted to target the fifth exon of *ddc* gene using the CRISPOR software  
216 (**Figure 7a**). We then performed *in vitro* cleavage assays to confirm the *in vitro* efficiency of these guide  
217 RNAs to cut - when complexed to Cas9 - a PCR product from *ddc* genomic sequence boarding the fifth  
218 exon (**Figure 7b**). The primers used to amplify these genomic regions are the following: F-  
219 ACTTTGGTGGCGTTGTTG and R-GGACGGAGGCACAGACTAAG. We then induced the  
220 production of sexual females from L9Ms10 clone and males from L7Tp23 clone to obtain fertilized eggs  
221 and inject them with a sg1/sg2/Cas9 mix and waited until melanisation before placing them at 4°C for  
222 85 days for obligate diapause.

223

## 224 **Results**

225

226 **Dopamine pathway genes are expressed in the heads of sexuparae and virginoparae.** In the past,  
227 our group demonstrated a decrease of the steady-state level of the *pale* and *ddc* mRNAs in the heads of  
228 pea aphid sexuparae under short days (SD) when compared with virginoparae aphids reared under long

229 days (LD). This down regulation was detected at the third larval stage (L3) of sexuparae (Gallot *et al.*,  
230 2010). These two genes encode two key enzymes involved in the formation of dopamine from L-  
231 tyrosine. In order to test whether the various downstream genes of dopamine pathway signaling (Figure  
232 2) were regulated, we used transcriptomic data generated in aphid heads from LD (virginoparae) and  
233 SD (sexuparae) reared aphids at L2 and L4 larval stages. We performed RNA-seq on samples already  
234 used for cDNA arrays (Le Trionnaire *et al.* 2009) and extracted the expression information specifically  
235 for the dopamine pathway genes (Figure 3). Statistical analyses revealed that *ddc* and *pale* transcript  
236 were significantly down regulated under SD conditions at L2 and L4 stages thus confirming the trend  
237 observed at L3 stage. Regarding the genes involved in the synaptic release of dopamine, only *prt*  
238 transcript was down regulated at L4 stage under SD conditions while *vat1* and *vmat* were not regulated  
239 by photoperiod shortening. All the genes involved in the use of dopamine-derived molecules as an  
240 uptake for cuticle melanization and sclerotization were regulated between LD and SD conditions. More  
241 precisely, *aaNAT*, *black*, *ebony* and *yellow* were significantly down-regulated under SD conditions at  
242 L2 and L4 stages while *laccase* transcript was up-regulated at L2 stage and down-regulated at L4 stage.  
243 These data thus indicate that in sexuparae heads, genes involved in cuticle sclerotisation and  
244 melanisation are down-regulated under SD conditions while it is not the case for genes involved in  
245 synaptic dopamine transport.

246  
247 **Localization of *pale* and *ddc* transcripts in virginoparae embryos.** In order to test whether *pale* and  
248 *ddc* are also expressed prenatally, we performed *in situ* localization of the pea aphid *pale* and *ddc*  
249 mRNAs in the embryos (and ovarioles) of adult aphids, just before larvae birth. *pale* mRNAs were  
250 detected in one early stage of embryogenesis (stage 1, as defined by Miura *et al.*, 2003), in a very  
251 restricted zone (Figure 4). This pattern was reproducible, but difficult to interpret. As it was not  
252 detectable in subsequent early stages, we propose the hypothesis that it might correspond to a residual  
253 signal of maternal *pale* mRNAs. *pale* mRNAs were also detected at later embryogenesis stages (from  
254 stage 13 to 17/18), at the anterior part of the embryo, probably in the protocerebrum, and more  
255 particularly in discrete pairs of cells that might correspond to neurosecretory cells. We could however  
256 not clearly assign the specific type of neurosecretory cells they could correspond to (Steel, 1977). No  
257 labeling was detected in the downstream ganglion chain, but a lateral labeling was detectable within  
258 unknown structures that might be mushroom bodies (Kollmann *et al.*, 2011). *ddc* mRNAs were detected  
259 in the latest stages (from stages 16 to 18) of embryogenesis (Figure 5). Labeled cells were located within  
260 the anterior part of the embryo, in neuronal cells of the protocerebrum that are likely to correspond to  
261 neurosecretory Cells from Group I, II, III or IV, which are located in a median position of the brain  
262 (Steel, 1977). Labeled cells were also detectable posteriorly, along the ganglion chain of the nervous  
263 system. Thus, *pale* and *ddc* genes are both expressed in the embryonic central nervous system but have  
264 distinct spatial patterns of expression. As expected, no labeled structures were detected in control  
265 samples hybridized with sense probes (Figures 4 and 5).

266  
267 **Dopamine pathway genes expression in sexuparae and virginoparae embryos.** We checked whether  
268 the dopamine pathway genes were differentially expressed in embryos between LD and SD  
269 photoperiodic regime (Figure 1). We compared by qRT-PCR the expression of the corresponding  
270 mRNAs between late stages (see methods) of sexuparae and virginoparae embryos (Figure 6). *vat1*,  
271 *vmat* and *prt* which are genes involved in the synaptic release of dopamine were consistently not  
272 regulated (with a p-value close to 1) between the two types of embryos. Then *ddc* and *pale* appeared to  
273 be more expressed in virginoparae embryos compared with sexuparae embryos, but because of an  
274 important variability between replicates, these differences were not significant. Regarding the genes

275 involved in cuticle melanization and sclerotization, *laccase* and *yellow* were significantly down  
276 regulated in sexuparae embryos. *aaNAT*, *black* and *ebony* also appeared to be more expressed in  
277 virginoparae embryos. The observed differences, while not in all cases statistically significant ( $p < 0.05$ ),  
278 were in a consistent direction (down regulation in sexuparae). These analyses show that cuticle-related  
279 genes (especially *laccase* and *yellow*) are already down-regulated in SD-reared embryos while the  
280 expression of the others are probably in the process of being altered to reach the patterns observed after  
281 birth at L2 and L4 stages.

282

### 283 **CRISPR-Cas9 mutagenesis of *ddc* gene in fertilized eggs.**

284 Based on a recent protocol of CRISPR-Cas9 mutagenesis developed in the pea aphid (Le Trionnaire *et*  
285 *al.*, 2019), we aimed at generating mutant lineages for *ddc* gene. We injected fertilized eggs with two  
286 single guide RNAs designed to target the fourth exon and some recombinant Cas9 protein (Figure 7a).  
287 These guides were validated *in vitro* prior to injection in order to maximise the possibility to generate  
288 genome editing events (Figure 7b). Finally, we injected 851 fertilized eggs less than 4 hours after being  
289 laid on the plant by the sexual females. Among them, 470 (55%) of the eggs were damaged by the micro-  
290 injection procedure, a percentage closed to what we observed in previous experiments. Among the  
291 remaining eggs, 84 (10%) completed melanisation, indicating their survival. Surprisingly, 297 eggs  
292 (35%) appeared to be intact (not damaged by the injection) but did not complete melanisation (Figure  
293 7c). Indeed, melanisation in aphid eggs is associated with a gradual transition from green to black colour  
294 and usually lasts for 5 days, which corresponds to the early steps of embryogenesis until melanin  
295 synthesis is completed. The colour defaults we observed ranged from green-spotted dark eggs, black-  
296 spotted green eggs and finally eggs that remained almost entirely green. As these colour patterns are  
297 absent in non-injected or water-injected eggs, these observations are likely to correspond to a  
298 melanisation default phenotype due to the genome editing of *ddc* gene. In order to confirm that CRISPR-  
299 Cas9 system had effectively generated mutations, we collected 63 eggs showing this various colour  
300 phenotypes (Figure 7d). We then extracted the DNA of each of these eggs and amplified by PCR the  
301 *ddc* genomic region. A gel electrophoresis analysis showed that at least 63% (40/63) of the eggs  
302 displayed the wild-type band but also some additional bands of smaller size. These products are the  
303 result of the combined action of the two sgRNAs that provoked some large deletion events around the  
304 two target sites. This analysis thus revealed that these eggs with melanisation defaults are mutated for  
305 the *ddc* gene. A focus (Figure 7e) on nine eggs with colour defaults clearly shows the presence of extra  
306 bands and their absence in non-injected eggs (NI). This genome editing experiment suggests that *ddc*  
307 gene in the pea aphid is involved in cuticle melanisation. Nevertheless, this phenotype is lethal since  
308 eggs with incomplete melanisation did not survive. Consequently, those eggs could not hatch and we  
309 were unable to generate stable mutant lineages for *ddc* gene and consequently we could not to test the  
310 effect of a *ddc* knock out on the reproductive mode switch of aphids.

311

### 312 **Discussion**

313 Dopamine is a central regulator of various pathways in insects, including synaptic function and cuticle  
314 structure. Previous works proposed the hypothesis that dopamine could be involved in the regulation of  
315 the plasticity of the reproductive mode in aphids (Le Trionnaire *et al.*, 2009 and Gallot *et al.*, 2010).  
316 This was based on the differential expression between long days (LD) and short days (SD) conditions  
317 of genes involved in dopamine synthesis as well as dopamine conjugation with other components to  
318 form cuticle polymers. Dopamine pathway (or more generally catecholamine pathways) has been  
319 demonstrated to regulate the phase polyphenism in locust, another case of discrete phenotypic plasticity  
320 where solitary and gregarious morphs alternate upon population density changes (reviewed in Wang and

321 Kang, 2014). More precisely, it was shown that three genes involved in dopamine synthesis and synaptic  
322 release (*pale*, *henna* and *vat1*) were differentially expressed between the alternative morphs of *Locusta*  
323 *migratoria* (Ma *et al.*, 2011). Artificial modification of dopamine levels clearly demonstrated the role  
324 of this pathway in the control of phase polyphenism. The switch of reproductive mode in aphids being  
325 another striking case of insect polyphenism, we made the hypothesis that dopamine might be a good  
326 candidate as a potential signaling pathway linking photoperiod shortening perception and asexual to  
327 sexual embryogenesis transition.

328

329 In this work, we analysed the expression levels within *A. pisum* embryos and heads of larvae of most of  
330 the genes involved in dopamine-related pathways. When comparing RNA-seq expression levels of these  
331 genes in head tissues, it clearly appeared that the key enzymes involved in dopamine synthesis (*pale* and  
332 *ddc*) and the genes involved in cuticle structure changes (*aaNAT*, *black*, *ebony*, *laccase* and *yellow*) were  
333 down-regulated in SD-reared aphids (sexuparae individuals). On the contrary, genes involved in  
334 dopamine transport and release in synapses (*vat1*, *vmat* and *pvt*) were globally not regulated, apart from  
335 the *pvt* homologue that was down-regulated under SD conditions but only at a specific stage (L4).  
336 Altogether, these data confirm previous observations that photoperiod shortening in sexuparae  
337 individuals may result in cuticle structure modifications triggered by a potential decrease in dopamine  
338 synthesis levels (Le Trionnaire *et al.*, 2009). We then tested whether these changes were initiated  
339 prenatally as a result of an early perception of photoperiod shortening in embryos. We compared the  
340 expression levels of these genes in LD-reared embryos (future virginoparae) and SD-reared embryos (  
341 future sexuparae). Some of these genes – including *pale*, *ddc*, *aaNAT*, *black* and *ebony* - showed a  
342 tendency of being less expressed (although not significant) in sexuparae embryos while some others  
343 were significantly down-regulated (e.g. *laccase* and *yellow*) in comparison with virginoparae embryos.  
344 Again, no differences in expression could be observed for genes involved in dopamine release in  
345 synapse. Altogether, these data suggest that the photoperiod decrease might affect the level of expression  
346 of dopamine synthesis genes and somehow reduce dopamine levels in embryos and later in heads of  
347 larvae which in turn would promote changes in cuticle structure, as confirmed by similar changes in the  
348 expression of genes coding for enzymes involved in this process. Regarding the absence of regulation  
349 of genes coding for dopamine release in synapses, this does not rule out the possibility that dopamine  
350 might serve as an intermediate signaling neurotransmitter linking photoperiod and embryos fate change,  
351 assuming that these type of transporters could possibly be ubiquitously expressed whether in LD and  
352 SD-reared embryos or in LD and SD-reared heads. Although our data cannot help concluding on that  
353 point, we can still speculate that dopamine levels might start to decrease prenatally following an early  
354 perception of SD photoperiodic regime and then continue to do so after birth until the required number  
355 of short days (Lees, 1989) necessary to induce the switch in embryos is reached. A time-course  
356 experiment of dopamine concentration measurement in LD and SD reared embryos and heads, as well  
357 as later in asexual and sexual embryos might certainly help deciphering the role of dopamine as a  
358 signaling molecule during the whole process of reproductive mode switch.

359

360 Transcripts coding for key dopamine synthesis enzymes (*pale* and *ddc*) are expressed and specifically  
361 localized in embryos, more precisely in paired structures and cells in the central nervous system and  
362 associated neuronal ganglions. However, due to the lack of a precise description of the anatomy of aphid  
363 embryonic central nervous system, it is difficult to identify with accuracy the cell types expressing those  
364 transcripts. We detected a *ddc* mRNA signal in cells that could correspond to neurosecretory cells  
365 described in adult aphids (Steel, 1977). These labelled cells putatively belonging to Group I, II, III or  
366 IV of neurosecretory cells. Some of them consist in five neurons located in the *pars intercerebralis* of



367 the protocerebrum (Group I), and one to two cells at the posterior part of the protocerebrum (Group IV).  
368 Interestingly, Group I cells have been showed to be involved in the photoperiodic response in the aphid  
369 *Megoura viciae* (Steel and Lees, 1977). Specific lesions of these cells abolished the response to the  
370 photoperiod. However, the nature of the material secreted by these cells is not known. They resemble  
371 neurosecretory cells of other insects such as *D. melanogaster* known to produce insulin peptides (Cao  
372 and Brown, 2001). There is nevertheless no clear evidence that *ddc* and *pale* positive cells/neurons are  
373 these neurosecretory cells and a more detailed analysis of the localization of *ddc* mRNAs in the central  
374 nervous system of aphid embryos and brain structures are required, including immuno-localisation of  
375 the corresponding protein. Finally, these data allow us to conclude that embryos already express  
376 dopamine synthesis genes in dedicated nervous structures from the brain and the central nervous system  
377 and that photoperiod somehow affect their level of expression.

378  
379 The down-regulation of dopamine synthesis pathway observed in the embryos is correlated with cuticle  
380 structure modifications. The hypothesis we made is that the head cuticle of sexuparae is less sclerotized  
381 (Gallot *et al.*, 2010, this study) and less melanized (this study) than in virginoparae. Whether this fact is  
382 a cause or a consequence of the production of alternative reproductive morphs in aphids is not solved  
383 yet. In one hand, cuticle structure could modify brain light perception by the sexuparae, but whether or  
384 not this has a direct incidence on the plasticity is not known. On the other hand, sexuparae have a very  
385 similar morphology to the virginoparae, but to our knowledge, no deep scanning of possible differences  
386 has been performed (except for the type of embryos). Cuticle structure could be one of these general  
387 phenotypical differences and probably needs to be investigated into more details.

388  
389 In order to test the possibility that dopamine might be a signaling intermediate between photoperiod  
390 shortening and embryos fate change, we aimed at knocking-out *ddc* gene to disturb dopamine synthesis.  
391 In this context, an expectable phenotype was that knocked-out lineages might produce sexual individuals  
392 directly after hatching even under LD conditions. We did not succeed to knock-down the *ddc* transcript  
393 in the pea aphid by RNAi (data not shown), probably because of the specific expression of these genes  
394 in the brain and central nervous system which are tissues/organs difficult to target by RNAi in aphids.  
395 We thus moved to a knock-out approach to edit the *ddc* gene with CRISPR-Cas9 by injecting fertilized  
396 eggs with some Cas9 protein together with a pair of single guide RNAs designed to target one specific  
397 exon of the *ddc* gene. Surprisingly, the mortality of injected eggs was particularly high in comparison  
398 with previous experiments of gene editing on another candidate (Le Trionnaire *et al.*, 2019). Indeed,  
399 only a few eggs reached complete melanization while the remaining proportion of the eggs (that were  
400 not damaged by the injection procedure) displayed various patterns of melanization, from nearly absent  
401 to almost complete. It is known in *Drosophila* that *ddc* (alongside with *pale*) is required for melanin  
402 synthesis (True *et al.*, 1999). Molecular analyzes revealed the presence of mutations in those eggs so  
403 that mutants for *ddc* gene display some body color defects, especially a clearer color due to the absence  
404 of melanin. The phenotype observed in aphid eggs thus resembles the *Drosophila* phenotype. This  
405 indicates that CRISPR-Cas9 system generated knock-out of the *ddc* gene in eggs that show an altered  
406 melanin synthesis in various locations. It is likely that these eggs harbor distinct somatic mutations in  
407 various embryonic tissues responsible for a mosaicism materialized by the variety of color patterns  
408 observed. Since the addition of melanin at the surface of the egg is necessary to protect the embryo  
409 against winter environmental conditions this explains why these eggs could not hatch after diapause and  
410 why this mutation had a lethal effect in our conditions. This experiment thus revealed that *ddc* gene was  
411 involved in melanization in aphids. Nevertheless, the lethal effect of the *ddc* knockout on egg viability  
412 did not allow us to investigate the role of this gene in the response to photoperiod since we could not

413 establish stable mutant lineages. Nevertheless, this study represents so far the first mutant phenotype  
414 ever generated on the aphid model with CRISPR-Cas9. Despite not providing a straightforward answer  
415 on the role of *ddc* during reproductive mode switch in aphids, these results confirm the efficiency and  
416 reproducibility of the targeted mutagenesis protocol we developed in the pea aphid (Le Trionnaire *et al.*,  
417 2019) and that will be useful for the aphid community.

418

419 In conclusion, further functional analyses are required to dissect precisely the specific role of dopamine  
420 pathway in the regulation of reproductive polyphenism in aphids. Nevertheless, our study already  
421 demonstrated that various dopamine pathways genes were regulated prenatally between LD and SD  
422 reared embryos. This trend was confirmed in larvae where several of these genes were differentially  
423 expressed in the head between asexual and sexuparae (sexual-producers) individuals. This suggests that  
424 the setting of the genetic programs involved in the production of seasonal alternative reproductive  
425 morphs in the pea aphid takes place early in this trans-generational process, which is correlated with the  
426 development and differentiation of neuronal structures and cells within the embryos. Our results also  
427 indicate that photoperiod shortening is correlated with a reduction in the levels of expression of enzymes  
428 involved in dopamine synthesis that might affect cuticle structure. Our data nevertheless did not help us  
429 to suggest that dopamine might also act as signaling molecule linking photoperiod and embryos fate  
430 switch. The melanization defaults observed for *ddc*-mutated eggs by CRISPR-Cas9 eventually  
431 confirmed that dopamine has a major role in aphid cuticle composition and synthesis.

432

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434 Sylvia Anton and Dr. Christophe Gadenne (INRAE, UMR Igepp, and Rennes, France).

435

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572 **Figures**

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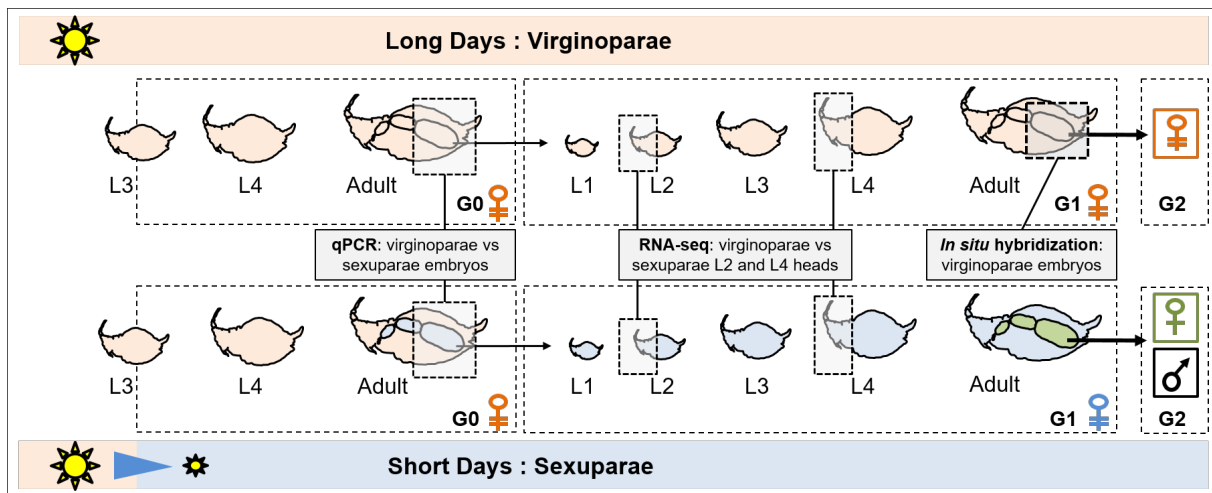
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583 **Figure 1 – Experimental design used to collect biological material for molecular experiments.**

584 Briefly, under long photoperiod conditions only virginoparae females are produced (G0, G1 and G2).

585 When transferred from long to short photoperiod at L3 stage, the embryos (G1) for these virginoparae

586 females (G0) start to perceive changes in day length. Once born, these females continue to integrate this

587 cumulative signal until it triggers the switch of the germline fate of their embryos (G2): these

588 virginoparae females that produce sexual individuals (oviparous females and males) in their offspring

589 are called “sexuparae”. In this study, we analysed the level of expression of dopamine pathway genes

590 in virginoparae and sexuparae embryos (by qPCR) and L2 and L4 heads (extracted from RNA-seq data).

591 In situ hybridization of *pale* and *ddc* transcripts was performed in virginoparae embryos chains.

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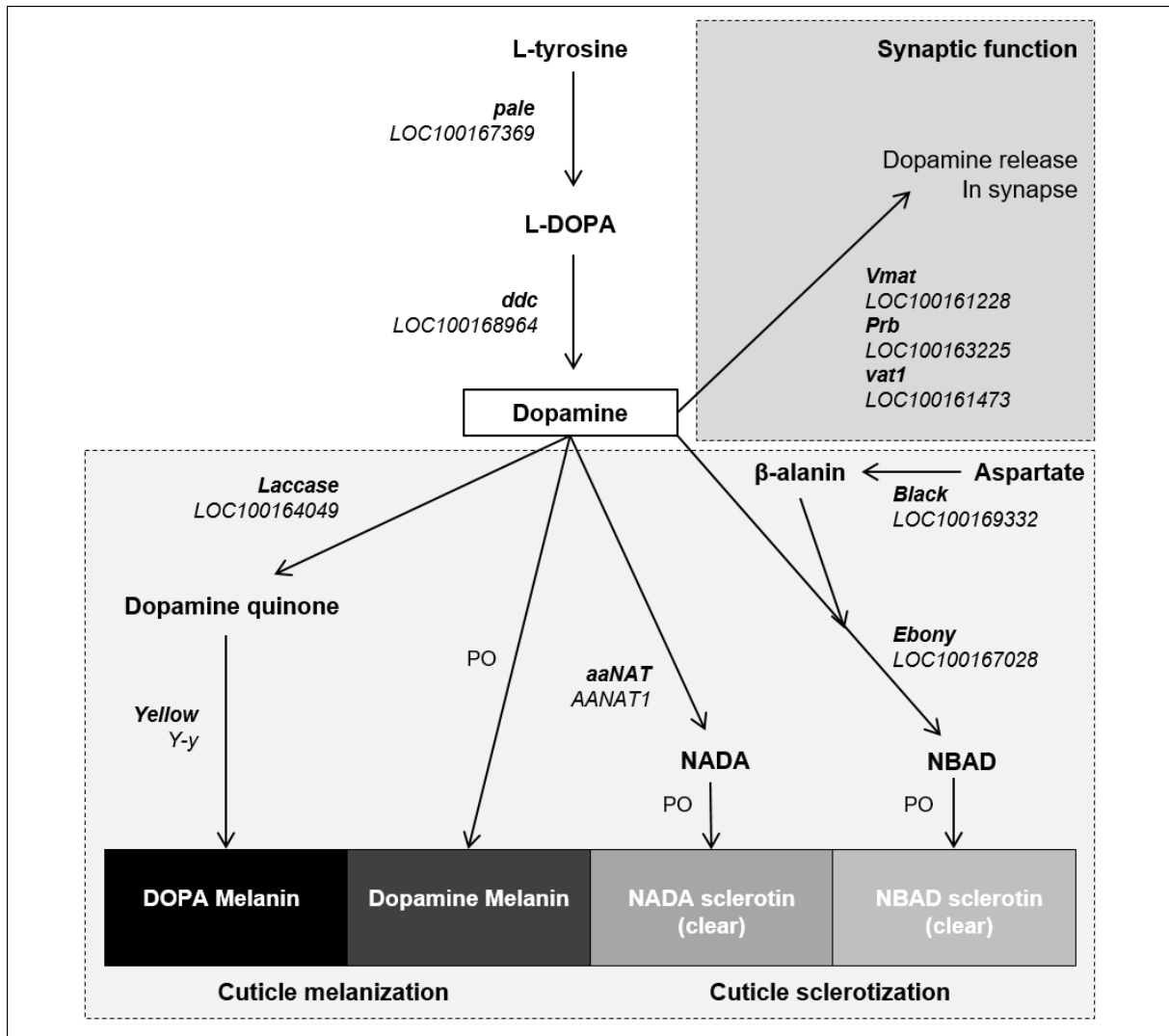
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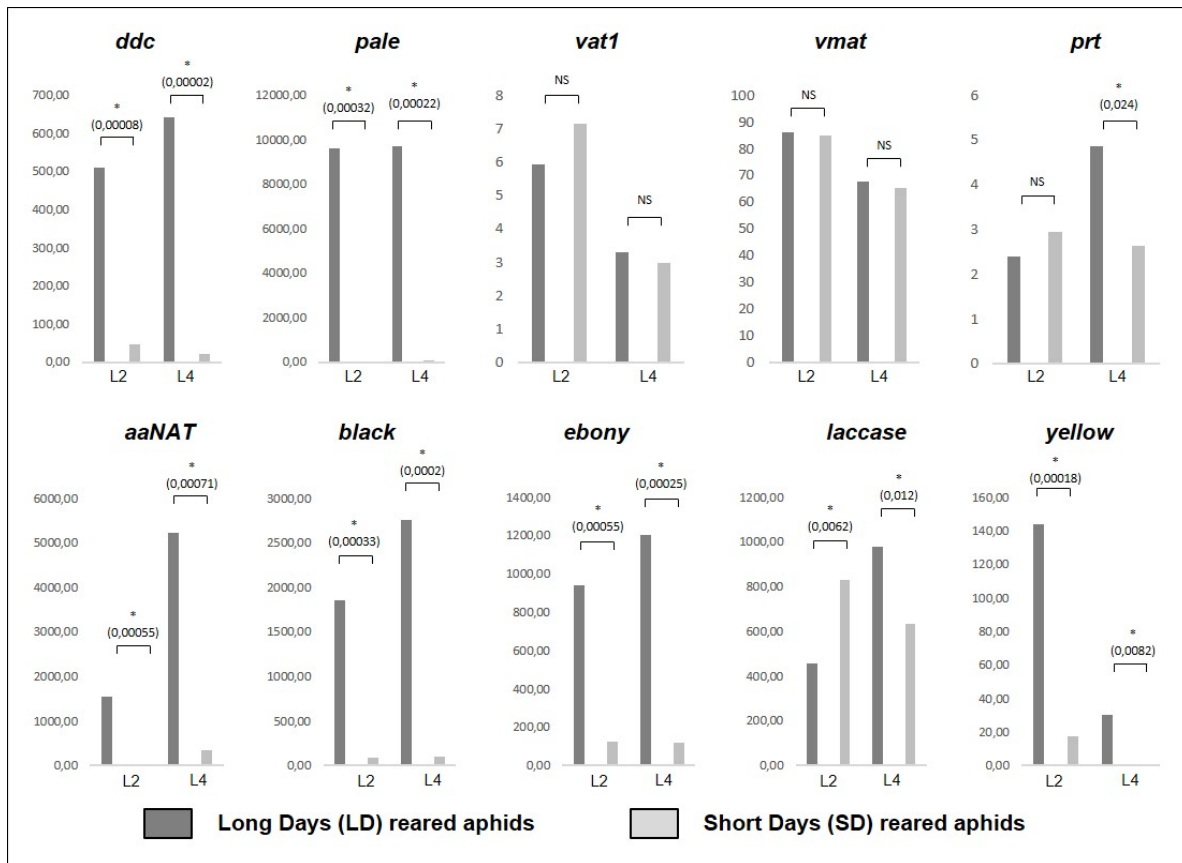
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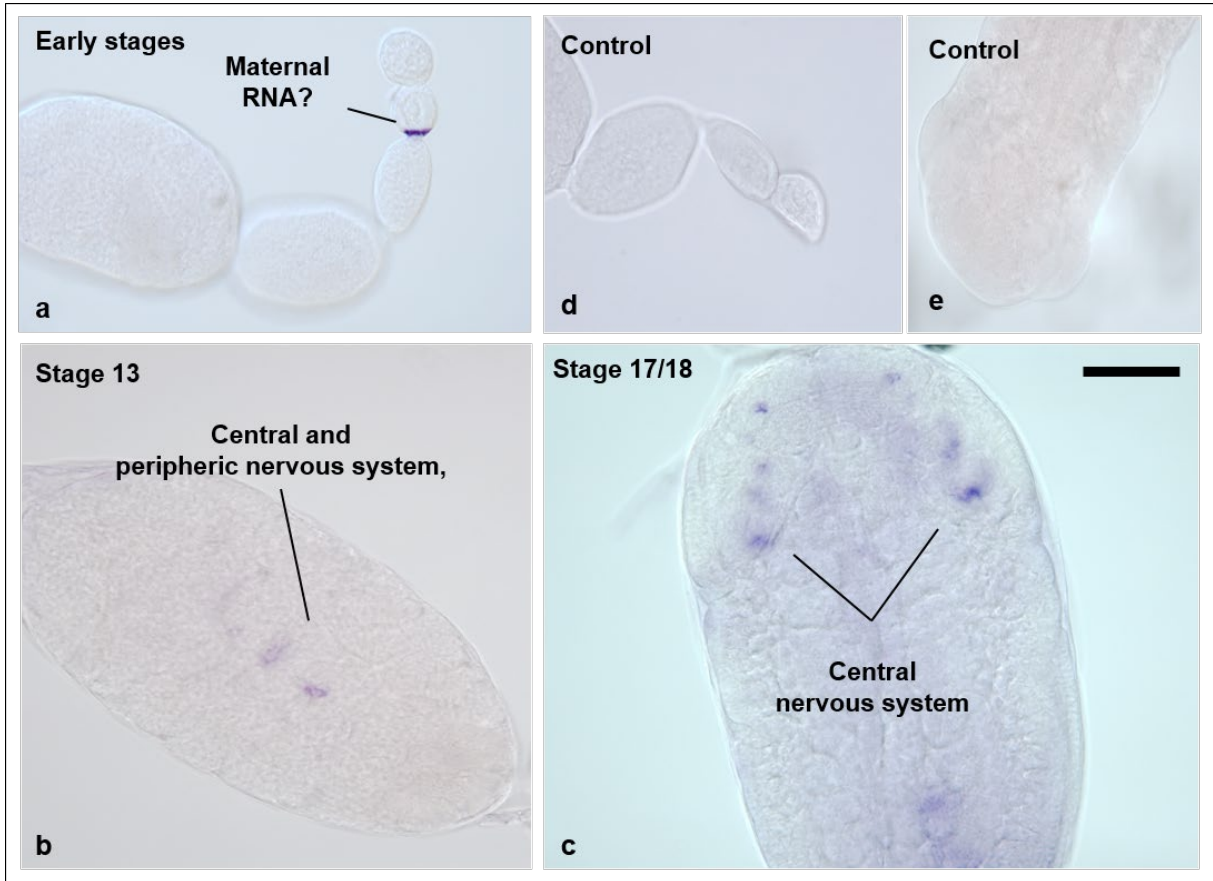
**Figure 2 – Dopamine biosynthesis and mode of action pathway.** L-tyrosine is first hydroxylised by *pale* (tyrosine hydroxylase) into L-DOPA which is then subsequently decarboxylised by *ddc* (dopa-decarboxylase) to produce dopamine. Dopamine can then directly be released within synapses and act as a neurotransmitter. Vesicle amine transporters such as *Vmat*, *prb* and *vat1* are likely to play a key role in this process. Dopamine can also be used as a structural component of the cuticle: either as DOPA melanin (black cuticle) when processed by *laccase* and *yellow* enzymes, or as NADA sclerotin (clear cuticle) through the action of *aaNAT* enzyme, or as NBAD sclerotin (clear cuticle) when conjugated with  $\beta$ -alanin through the activity of *ebony* and *black* (responsible for metabolization of aspartate into  $\beta$ -alanin) enzymes. In bold are indicated the names of the key enzymes functionally characterized in *Drosophila*; just behind are indicated in italic type the name of the closest pea aphid homologue from the latest release of the pea aphid genome (v2.1). PO: enzymes from the phenoloxidase family.

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**Figure 3 – Expression levels of dopamine pathway genes in L2 and L4 larvae heads of long day (LD) and short day-reared aphids.** Based on a previous microarray analysis of the transcriptomic response in the heads of aphids when submitted to photoperiod shortening (Le Trionnaire et al., 2009), the same RNA samples were used for RNA-sequencing. The level of expression (expressed as RPKM) of dopamine pathway genes was extracted from this dataset and statistically analyzed using EdegR package to identify differentially expressed genes between the two photoperiod conditions (the p-value of the test is indicated between brackets). \*: Significant, NS: Non-Significant.

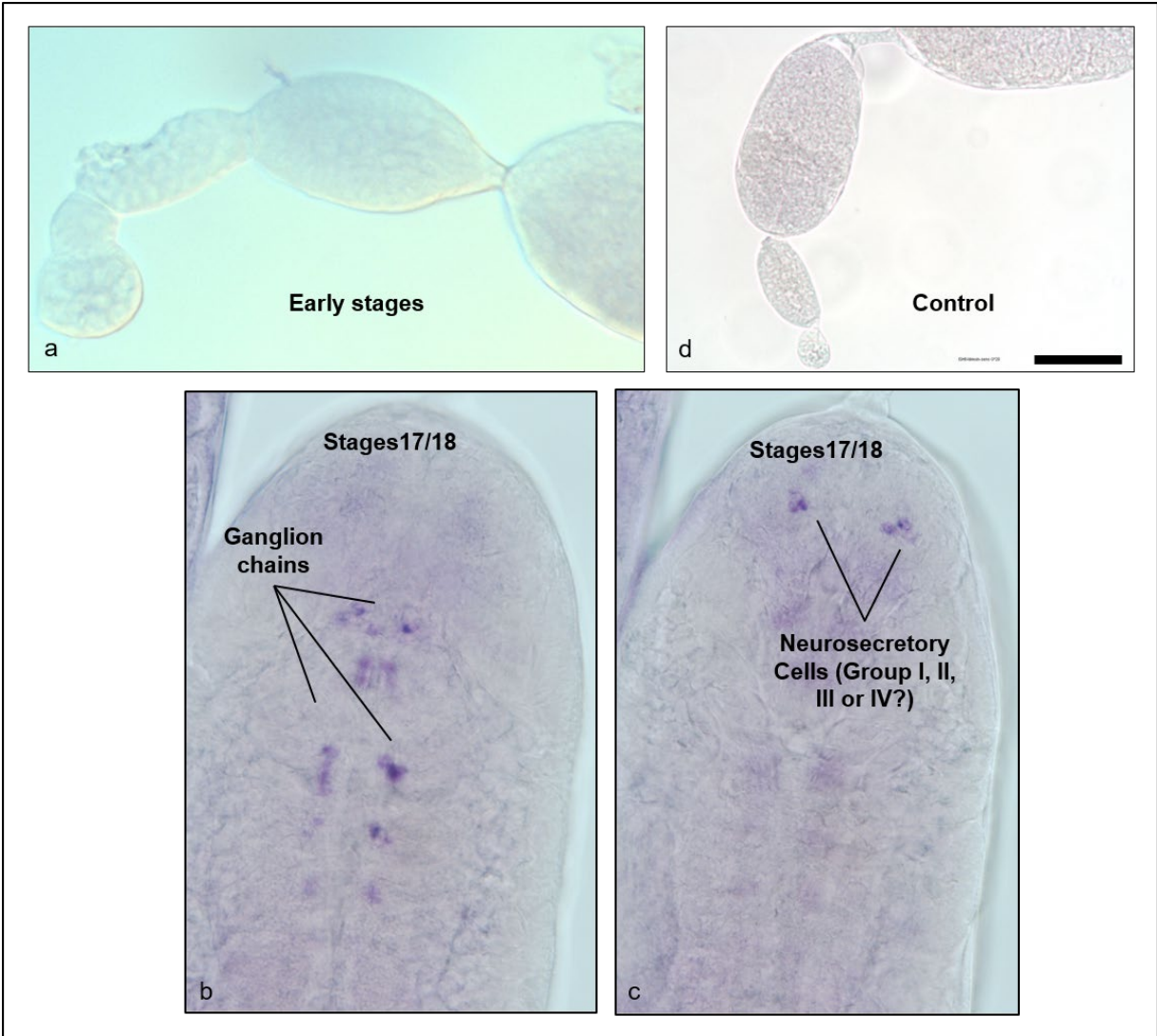
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**Figure 4 – *In situ* hybridization of *pale* transcripts within virginoparae embryos.** Ovaries were dissected from virginoparae morphs and hybridized with antisense (a, b and c) or sense (d and e) probe.

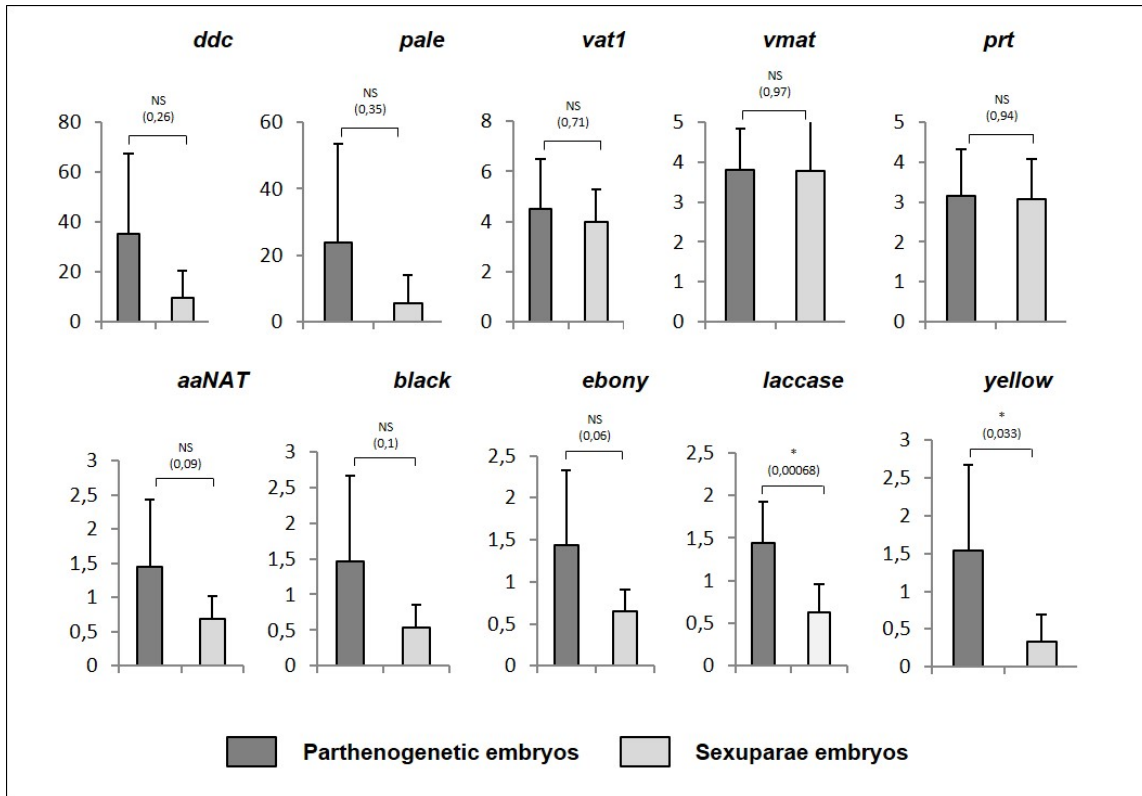


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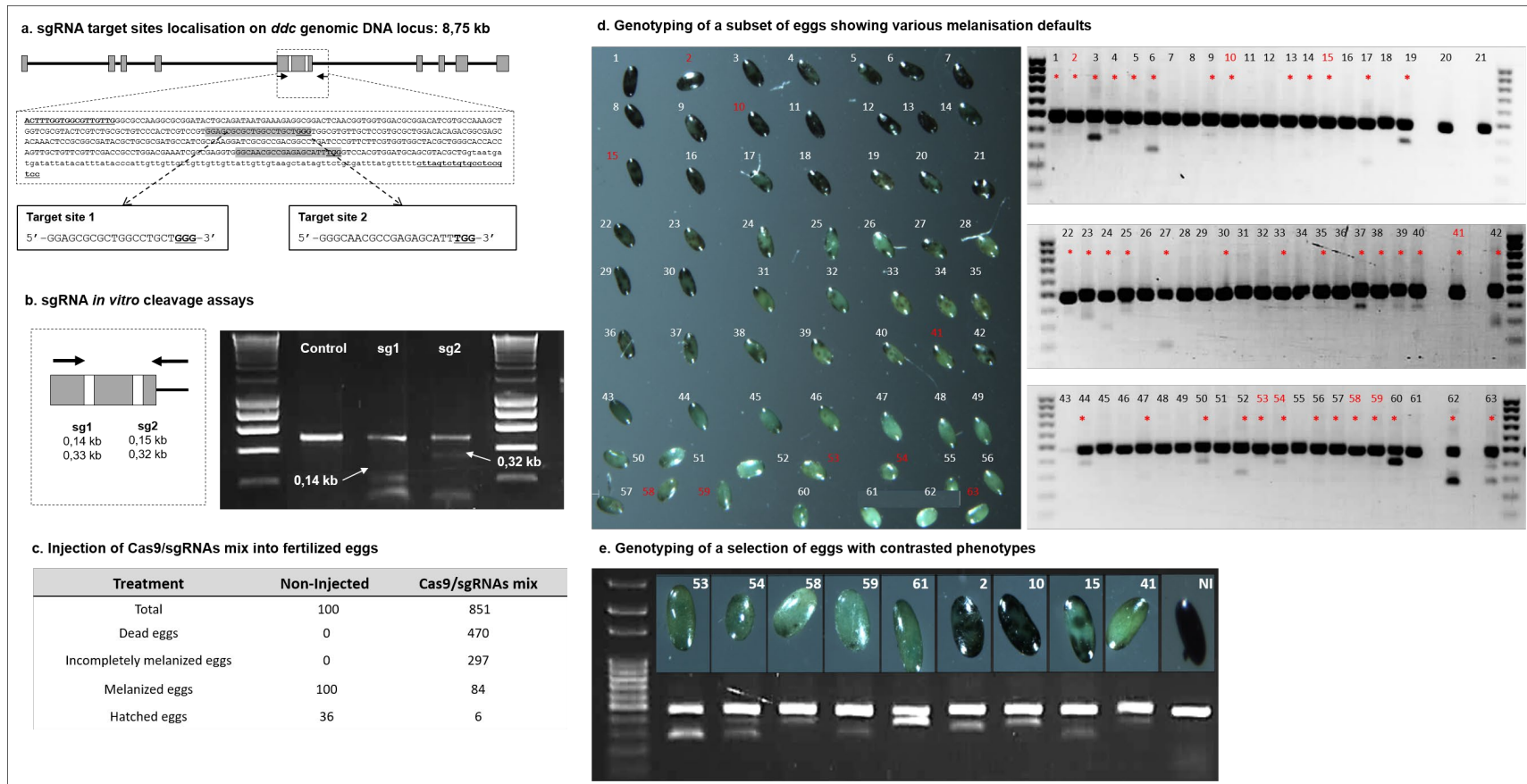


**Figure 5 – *In situ* hybridization of *ddc* transcripts within virginoparae embryos.** Ovaries were dissected from virginoparae morphs and hybridized with antisense (a, b and c) or sense (d) probe.

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**Figure 6 – Expression levels of dopamine pathway genes in virginoparae and sexuparae embryos.** Most developed embryos from adult aphids placed under long days (virginoparae embryos) and short days (sexuparae embryos) were collected for the quantification of the level of expression of dopamine pathway genes. Relative quantification was performed using RpL7 as an invariant gene. A *t-test* was performed to estimate if the genes were significantly regulated between the two conditions (the p-value of the test is indicated between brackets). \*: Significant, NS: Non-Significant.



**Figure 7 – CRISPR-Cas9 editing of *ddc* gene and phenotypic defaults observed in injected fertilized eggs.** **a)** The *ddc* coding sequence comprises 9 exons that are spread over a 8,75 kb genomic DNA region. Single guide RNAs were defined to target two regions (target site 1 and 2) within the 5<sup>th</sup> exon of the gene. On the 5<sup>th</sup> exon sequence are underlined the Forward and Reverse primers used to amplify the corresponding genomic DNA. **b)** *In vitro* cleavage assay were performed by mixing each sgRNA, the cas9 protein and the PCR product of the 5<sup>th</sup> exon. The sizes of the expected cleavage products are indicated with white arrows. **c)** This table compiles the results from the micro-injection within fertilized eggs of a sg1/sg2/Cas9 mix in terms of dead eggs, melanised eggs but also eggs with incomplete melanisation. Post-diapause hatching rates are also indicated, including the non-injected control eggs. **d)** 63 eggs with melanisation defaults were collected and their *ddc* genomic location was amplified. Gel electrophoresis analysis reveals the presence of extra bands that are the product of the combined action of the two sgRNAs and confirms the efficiency of genome editing (red stars). **e)** A focus on nine mutated eggs and one non-injected egg confirms the efficiency of CRISPR-Cas9 system to generate contrasted melanisation phenotypes.

## Supplementary Tables

**Table S1. Coding sequences of dopamine pathway genes characterized in this study.**

>ACYPI008168-RA|LOC100167369|**pale**

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ATGGCAGTAGCAGCAGCCAAAAGAGCCGAGAGATGTTCCGCCATCAACAAGTCATATAGCATCGAGAACGGATATCCAGCGAGGAGACGTTCTCTCGTAG
ACGACGCCAGATTCGAGACGATCGTCTGAAAGCAGACCAAAATTCCTGTTTGGAGGAAGCCCGCAATTAGCGAACGATGCCGGACTTACTGAAGAAGA
GGTGTATTGGAGAAGGCAGCAAGCAAGACAAAGATGCGGAGATGGCTGTACAAAGGGCTACTCTGGTATGCGTCTGCGCGAAGGTATCAATTCCTC
GCCCGGGTATTGAAAGTAATCGAAAATGGAAAAGGCAATGTCGTCATTTGGAATCAAGGCCGTCTAAGGAACAAGGGCTTCAATTTGACATTTTGGCCA
AAGTGGACATGACGAGAAAAGATCTATTAGCATTAAAGACACTCAGACAAAGCTCTACATTAGCAAGCATTACGATTTAGCCGAAGACAACATCAA
CGTCAAGAACCCTGGTTCCCGGAGACATGCCCGTGACTTGGACAACCTGCAACCACTTGTATGACCAAAATACGAACCGGAATTGGACATGAACCCCGGGA
TTTTCCGACAAAGTTTACCCTCCCGCAGGAAGGAAATCGCAACATTTGCTTTCGAATATAAATTCGGCGACTTGATCCCAGTTATCGAATACACTGCTG
ACGAAGTCAGTACATGGACCGCTGTTTTCAACAACGTGTGGACCTGATGCCCAAGCATTCTGTCATGGAATACAGGGACGCTTTCAAGATGCTACAGGA
CGATAACATATTCCTACTGTCAGCAAGATCCCAACTTAAGGATATGAACGAATCTTGA AAAAGCACACAGGATTACTCTCCGGCCGGCGCTGGTTG
TTGACGGCCGAGACTTCTCCGCTCCGCTTCCGCTGTTTCCGAGCAGCAGTACATTGCTGTACAGCACATCACCACTTCCACACCTGAAACCGG
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>ACYPI009626|LOC100168964|**ddc**

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>ACYPI062462|LOC100161473|**vat1**

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>ACYPI004325|LOC100163225|**prt**

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> ACYPI002543 | AANAT1 | **aanAT**

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> ACYPI009960 | LOC100169332 | **black**

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> ACYPI007852 | LOC100167028 | **ebony**

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>ACYPI005091 | LOC100164049 | **laccase**

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>ACYPI003242 | Y-y | **yellow**

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**Table S2 - Primers used for Q-RT-PCR.**

<b>Primer name</b>	<b>Gene ID</b>	<b>Primer sequence</b>	<b>Amplicon length</b>
<i>pale-1F</i>	LOC100167369	CTCGGTTTAGCGTCTCTTGG	303
<i>pale-1R</i>		CATGGATGAAACCCATTTCC	
<i>ddc-1F</i>	LOC100168964	AATTGGTGTGCGAAACCTTC	309
<i>ddc-1R</i>		CCGGCTTCATACACTTCGTT	
<i>Rpl7-F</i>	RpL7	GCGCGCCGAGGCTTAT	81
<i>Rpl7-R</i>		CCGGATTTCTTTGCATTTCTTG	
<i>vat1-F</i>	LOC100161473	GAACCTCGTTGGACTTGGA	160
<i>vat1-R</i>		ACCGAGTGAATAGGCTGACG	
<i>pvt-F</i>	LOC100163225	GTAGACTCTGCTCTGGTCCC	138
<i>pvt-R</i>		TTGACCGCCCAAAATAGGAC	
<i>Vmat-F</i>	LOC100161228	TTCACGAAACGGTTGCAGTT	181
<i>Vmat-R</i>		AATACCCCGTAACTCCGTCC	
<i>aaNAT-F</i>	AANAT1	TCCGCGATGAACCATTGAAC	128
<i>aaNAT-R</i>		ACCAGTTTGAGATACCGCCA	
<i>black-F</i>	LOC100169332	CATAAGCACCTGTTGACCGG	92
<i>black-R</i>		AACGTTGAGCACTGTTGAGG	
<i>ebony-F</i>	LOC100167028	CCCAAACACAAAGACGACGT	108
<i>ebony-R</i>		ATCGGTGTACTCGCTGTAGG	
<i>laccase-F</i>	LOC100164049	GGAGACAACAAGCCAGCAAA	138
<i>laccase-R</i>		GTGCAGATGGAACGGATGAC	
<i>yellow-F</i>	Y-y	GTACGCGTCTGATGAGCTTG	222
<i>yellow-R</i>		CTGGCTAGTGGGTGGAAGAA	

**Table S3 - Primer used for *in situ* hybridization**

<b>Primer name</b>	<b>Gene ID</b>	<b>Primer sequence</b>	<b>Probe length</b>
<i>pale-F</i>	LOC100167369	GCAGACCAAACATTCCGTTT	1374
<i>pale-R</i>		TCAGTGCGGTGTTTAGATGC	
<i>ddc-F</i>	LOC100168964	TGGTCGCTGACATACTGAGC	973
<i>ddc-R</i>		GGATCCCTTAAGTCGGAAGC	